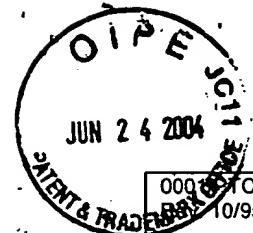


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GROUP 3600



0001670 B71 10/95	U.S. Department of Commerce Patent and Trademark Office		Application Number	09/885,720	
			Filing Date	June 19, 2001	
			First Named Inventor	Pierfrancesco La Mura	
			Group Art Unit Number	3624	
			Examiner Name	Daniel S. Felton	
TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>		Total Number of Pages in This Submission	8*	Attorney Docket Number	23050-09086

ENCLOSURES <i>(check all that apply)</i>	
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Signature:	Laura Majerus
Attorney/Reg. No.:	Laura A. Majerus, Reg. 36,417
Dated: June 21, 2004	

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## THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Pierfrancesco LaMura, Moshe Tennenholz, and Yaov Shalem

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APPLICATION NO.: 09/885,720

JUL 6 2004

FILING DATE: June 19, 2001

**GROUP 3600**

TITLE: Enhanced Auction Mechanisms for Online Transfer

EXAMINER: Daniel S. Felton

GROUP ART UNIT: 3624

ATTY. DKT. NO.: 23050-09086

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**INFORMATION DISCLOSURE STATEMENT  
Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

- before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
  - Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
    - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
  - on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
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      - each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
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foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. APPLICATION NO., filed on DATE FILED, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
Pierfrancesco LaMura, Moshe Tennenholz, and  
Yaov Shoham

Dated: June 21, 2004

By: Laura Majer  
Laura A. Majerus, Reg. No. 33,417  
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<b>Complete if Known</b>	
		Application No. 09/885,720 Filing Date June 19, 2001 First Named Inventor Pierfrancesco La Mura Art Unit 3624 Examiner Name Daniel S. Felten Attorney Docket Number 23050-09086	
Sheet	1	2	

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**JUL 6 2004**

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document No.	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-4,475,468	05-17-1988	Von Kohorn
	A2	US-5,057,915	10-15-1991	Von Kohorn
	A3	US-5,213,337	05-25-1993	Sherman
	A4	US-5,508,731	04-16-1996	Kohorn
	A5	US-5,794,207	08-11-1998	Walker et al.
	A6	US-5,794,219	08-11-1998	Brown
	A7	US-5,823,879	10-20-1998	Goldberg et al.
	A8	US-5,835,896	11-10-1998	Fisher et al.
	A9	US-5,905,975	05-18-1999	Ausubel
	A10	US-5,916,024	06-29-1999	Von Kohorn
	A11	US-5,964,660	10-12-1999	James et al.
	A12	US-5,970,469	10-19-1999	Scroggie et al.
	A13	US-6,012,045	01-04-2000	Barzilai et al.
	A14	US-6,044,363	03-28-2000	Mori et al.
	A15	US-6,061,660	05-09-2000	Eggleston et al.
	A16	US-6,070,874	06-06-2000	Ivers
	A17	US-6,093,100	07-25-2000	Singer et al.
	A18	US-6,146,272	11-14-2000	Walker et al.
	A19	US-6,151,589	11-21-2000	Aggarwal et al.
	A20	US-6,161,099	12-12-2000	Harrington et al.
	A21	US-6,200,216B1	03-13-2001	Peppel
	A22	US-6,224,486B1	05-01-2001	Walker et al.
	A23	US-6,267,379B1	07-31-2001	Forrest et al.
	A24	US-6,285,989B1	09-04-2001	Shoham
	A25	US-6,394,899B1	05-28-2002	Walker

Examiner Signature		Date Considered	
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PTO/SB/08A (10-01)

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Application No.	09/885,720
Filing Date	June 19, 2001
First Named Inventor	Pierfrancesco La Mura
Art Unit	3624
Examiner Name	Daniel S. Felten
Attorney Docket Number	23050-09086

GROUP 3600

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document No.	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A26	US-6,450,407B1	09-17-2002	Freeman et al.
	A27	US-6,468,159B1	10-22-2002	La Mura et al.
	A28	US-6,565,442B2	05-20-2003	La Mura et al.
	A29	US-6,676,521B1	01-13-2004	La Mura et al.

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
	B1	WO 00/37154	06/29/2000	Klayh	

### OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>

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